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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/788,895

02/27/2004

AUG 1 9 200

Scot Olson

H0005012--1623

**CONFIRMATION NO. 4986** 

00128

HONEYWELL INTERNATIONAL INC 101 COLUMBIA ROAD

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**FORMALITIES LETTER** 

OC000000013285740\*

Date Mailed: 07/20/2004

# NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

## Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 06/21/2004 to the Notice to File Missing Parts (Notice) mailed 05/20/2004 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application. Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

· The oath or declaration is unsigned.

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A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

#### Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TRANSMITTAL **Application Number** 10/788,895 **FORM** Filing Date February 27, 2004 (to be used for all correspondence after initial filing) First Named Inventor Scot OLSON Art Unit 2821 **Examiner Name** Unknown Total Number of Pages in This Submission **Attorney Docket Number** H0005012--1623

ENCLOSURES (check all that apply)								
X	Fee Transmitt	tal Form		Drawings(s)		After Allowance Communication to Technology Center (TC)		
	X Fee Att	tached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
	Amendment /	Reply		Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
	After Fi	inal		Petition to Convert to a Provisional Application		Proprietary Information		
	Affidav	its/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address			Status Letter		
X	Extension of Time Request			Terminal Disclaimer	$\boxtimes$	Other Enclosure(s) (please identify below):		
	Express Abandonment Request			Request for Refund	2 Pages Executed Combined Declaration and Power of Attorney;			
	Information Di	ormation Disclosure Statement		CD, Number of CD(s)	Copy of Notice of Incomplete Reply; Check in the amount of \$240.00.			
	Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application					•		
X				Remarks				
Response to Missing Parts under 37 CFR 1.52 or 1.53								
		SIGNAT	URE O	F APPLICANT, ATTORNEY, OF	RAGEN	IT		
Firm or		S. Jared Pitts, Reg.	No. 38,579					
Individ	dual name		1-1	2.A				
Signa	ture	5/00	{ at					
Date	Date 16 August 2004							
CERTIFICATE OF TRANSMISSION/MAILING								
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.								
Туре	or printed name	S. Jared Pitts		101				
Signature  This collection of information is required by 37 CFR 1.5. The information is required by 37 CFR 1.5.					ate	16 Avg 2004		

application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. SEND FEES OR COMPLETED FORMS TO: Mail Stop Assignment Recordation Services, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective 10/01/2003. Patent fees are subject to annual revision. Applicant claims small entity status. See 37 CFR 1.27

SUBMITTED BY

Name (Print/Type)

S. Jared Pitts

(\$) 240.00TOTAL AMOUNT OF PAYMENT

the Paperwork Reduction Act of 19	995, no persons are required to	respond to a collection of info	rmation unless it displays a valid OMB control	number.			
CE TOAN	CRAITTAI	Complete if Known					
CE IKAN	DIVILLIAL	Application Number	10/788,895				
for EV	FOR FY 2004 01/2003. Patent fees are subject to annual revision. ims small entity status. See 37 CFR 1.27	Filing Date	February 27, 2004				
		First Named Inventor	Scot OLSON				
· · · · · · · · · · · · · · · · · · ·	-	Examiner Name	Unknown				
icant claims small entity status	. See 37 CFR 1.27	Art Unit	2821				
AMOUNT OF PAYMENT	(\$) 240.00	Attorney Docket No.	H00050121623				

(Complete (if applicable))

Telephone 480-385-5060

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)					
Check Credit card Money Other None				3. ADDITIONAL FEES					
Order Order				<u>Large</u>	Entity	Small	Entity	!	
Deposit		<del></del>		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number	50-2091			1051	130	2051		Surcharge - late filing fee or oath	130.00
Deposit Account	Ingrassia	Fisher & Lorenz		1052	50	2052	25	Surcharge - late provisional filing fee or	
Name	<u> </u>			1053	130	1053	130	cover sheet Non-English specification	
The Director is authorized to: (check all that apply)  Charge fee(s) indicated below  Credit any overpayments					2,520			For filing a request for ex parte reexamination	
I= '	(s) indicated b	,	• •	1804	920*	1804	920*	Requesting publication of SIR prior to	
I=		e(s) or any underpayment of elow, except for the filing	` '	1				Examiner action	
to the above-id	` '	, ,	iee	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
		CALCULATION		1251	110	2251	55	Extension for reply within first month	110.00
1. BASIC F				1252	420	2252	210	Extension for reply within second month	
Large Entity S	Small Entity			1253	950	2253	475	Extension for reply within third month	
	Fee Fee Code (\$)	Fee Description	Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month	
· · · · ·	2001 385	Utility filing fee		1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 340	2002 170	Design filing fee		1401	330	2401	165	Notice of Appeal	
1003 530	2003 265	Plant filing fee		1402	330	2402	165	Filing a brief in support of an appeal	
1004 770	2004 385	Reissue filing fee		1403	290	2403	145	Request for oral hearing	
1005 160	2005 80	Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
	١ .	SUBTOTAL (1) (\$)		1452	110	2452	55	Petition to revive - unavoidable	
2 EVIDA			DEICOLIE	1453	1,330	2453	665	Petition to revive - unintentional	
Z. EXTRA C	LAIM FEE	S FOR UTILITY AND	m .	1501	1,330	2501	665	Utility issue fee (or reissue)	
Total Claims		Extra Claims below	Fee Paid	1502	480	2502	240	Design issue fee	
Independent		)** =   X		1503	640	2503	320	Plant issue fee	
Claims - 3** = X - 3 - 3** = Multiple Dependent				1460	130	1460	130	Petitions to the Commissioner	
		L	} <del>-</del>	1807	50	1807	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity Fee Fee	Small Entity Fee Fee	Y. Fee Description		1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$)	Code (\$)			8021	40	8021	1 40	Recording each patent assignment per property (times number of properties)	
1202 18		9 Claims in excess of 20		1809	770	2809	385	Filing a submission after final rejection	
1201 86		3 Independent claims in a						(37 ČFR 1.129(a))	
1203 290 1204 86	2203 14	·	•	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1204 00	2204 43	over original patent	Colaillis	1801	770	2801	385	Request for Continued Examination (RCE)	İ
1205 18	2205	9 ** Reissue claims in ex and over original pate		1802	900	1802	900	Request for expedited examination of a design application	
				Other fee (specify)					
SUBTOTAL (2) (\$)							Filing F	ee Paid SUBTOTAL (3) (\$) 240.0	0
**or number previously paid, if greater; For Reissues, see above					•		•	335131AL (3)  (φ) 240.0	-

Signature WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

38,579



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Scot OLSON et al.

Art Unit: 2821

Serial No.:

10/788,895

Examiner: Unknown

Filed:

February 27, 2004

Attny. Docket No.: H0005012--1623

For: FLUORESCENT LAMP DRIVER SYSTEM

#### CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450\_Alexandria, VA 22318-1450 on the date shown below.

S. Jared Pitts

## RESPONSE TO NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Commissioner:

In response to the Notice of Incomplete Reply (Nonprovisional) ("Notice") mailed July 20, 2004, please find enclosed herewith the following items:

- 1) Transmittal Form;
- 2) Fee Transmittal;
- 3) Copy of the Notice;
- 4) Petition for Extension of Time;
- 5) Executed Declaration and Power of Attorney; and
- 6) Check in the amount of \$240.00 (\$130.00 surcharge and \$110.00 for 1-month extension of time).

Please charge any additional fees or credit any overpayment related to this filing to Deposit Account No. <u>50-2091</u>.

Date: 16 An 2009

Respectfully submitted,

y. Jaren Pitts

Reg. No. 38,579

08/20/2004 ZJUHAR1 00000023 1078B895